

Receipt / #



PATENT

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Masakazu FUNAHASHI et al.

Serial No.: 09/943,578

Filed: August 31, 2001

For: NOVEL STYRYL COMPOUND AND ORGANIC ELECTROLUMINESCENCE DEVICE

SUBMISSION OF ORIGINAL EXECUTED APPLICATION

Assistant Commissioner for Patents
Box Missing Parts
Washington, D. C. 20231

PLEASE ACCEPT THIS AS
AUTHORIZATION TO DEBIT
OR CREDIT FEES TO
DEP. ACCT. 16-0331
PARKHURST & WENDEL

Sir:

The above identified application was duly filed on August 31, 2001, in the Japanese language. Accordingly, submitted herewith is a Verified English-language Translation of the application. Also, submitted herewith is a fully executed Declaration and Assignment of the inventors. Attached is our check for \$1040.00, which includes \$130.00 to cover the payment of the fee in accordance with 37 CFR 1.17(i), \$130.00 to cover the payment of the fee in accordance with 37 CFR 1.16(e), \$40.00 to cover the fee for recordation of the Assignment document, and the remainder to cover

1033TT 8454660

the full filing fees.

Entry of these documents should complete all of the filing formalities, and fully satisfy the Notice mailed October 04, 2001. Accordingly, examination and allowance of the application in due course are respectfully solicited.

The Commissioner is hereby authorized to charge any additional fee (or credit any overpayment) associated with this communication to Deposit Account No. 16-0331. Two duplicate copies of this letter are attached.

Respectfully submitted,

PARKHURST & WENDEL, L.L.P.


28 Nov 2001
Date

RWP:ddh

Attorney Docket No. OHTN:004

PARKHURST & WENDEL, L.L.P.
1421 Prince Street, Suite 210
Alexandria, Virginia 22314-2805
Telephone: (703) 739-0220

(rev. 11/00)


Roger W. Parkhurst
Registration No. 25,177



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/943,578	08/31/2001	Masakazu Funahashi	OHTN 004

CONFIRMATION NO. 9438

FORMALITIES LETTER



OC000000006849530

PARKEURST & WENDEL, L.L.P.
Suite 210
1421 Prince Street
Alexandria, VA 22314-2805

Date Mailed: 10/04/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

11/29/2001 SDIRETA1 00000003 09943578

FILED UNDER 37 CFR 1.53(b)

01 Fee:101 740.00 OP
02 Fee:105 130.00 OP
03 Fee:139 130.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- **The balance due by applicant is \$ 970.**
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

*A copy of this notice **MUST** be returned with the reply.*

W. K. Korman

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

0904397 41304
T033T 13/5E7650

VERIFICATION OF TRANSLATION

The undersigned translator, having an address at 1134-6, 3-chome, Kohan, Higashiyamato-shi, Tokyo, 107-0002 Japan declares that:

- (1) I am fully conversant both with the Japanese and English languages.
- (2) I have translated into English the United State Application No. 09/943,578 filed on August 31, 2001. A copy of said English translation is attached hereto.
- (3) The translation is, to the best of my knowledge and belief, an accurate translation of the application as filed into the English language.

The undersigned declares further that all statements made herein of his own knowledge are true and that all statements made on information and belief believed to be true.

Date: October 29, 2001

Ryozo Sakata
Ryozo Sakata

0943578-1